



FATCA Form for Declaration of Status as U.S Person/Non-U.S. Person

For Entity

For purpose of this form, TMBThanachart Bank Public Company Limited and Third parties referred to in Part 4 of this form,

all such persons are also deemed to be recipients of this form

RM No.....

Entity Information

Applicant Name, Type of Document, Document Number, Country of Incorporation/Organization, Thai Tax Identification Number, Foreign Tax Identification Number (ID Number, Country)

Part 1 Status of Applicant

Please tick the appropriate box corresponding to your status (tick 1 status only).

U.S. Person

1. U.S. Entity Please complete Form W-9

The entity was incorporated/organized in the U.S. or under the laws of the U.S. or is a U.S. resident for tax purpose.

Non U.S. Person

2. Financial Institution Please continue to complete below part

The entity is a foreign financial institution as defined under FATCA.

3. Passive Non-Financial Foreign Entity (neither Financial Institution nor Entity in No. 4) Please complete Form W-8BEN-E

The entity, in the preceding fiscal/calendar year, either earns passive income (e.g. interest, dividend etc.) equal to or more than 50% of its gross income or hold assets that produce passive income equal to or more than 50% of its assets.

4. Active Non-Financial Foreign Entity (including entities exempted/excepted under FATCA)

The entity engages in general business, which, in the preceding fiscal/calendar year, earns passive income (e.g. interest, dividend etc.) less than 50% of its gross income and hold assets that produce passive income less than 50% of its assets. Including, entities exempted/excepted under FATCA e.g. government, non-profit organization, international organization, publicly traded entity and its affiliates, etc.

Foreign Financial Institution as defined under FATCA and Global Intermediary Identification Number (GIIN)

If you specify your status as a financial institution, please tick one of the below categories and provide information required

1. Reporting Financial Institution located in Partner Jurisdiction Please provide GIIN below

2. Non-reporting Financial Institution located in Partner Jurisdiction Please provide GIIN below (if applicable)

Exempt Beneficial Owner Please specify status

Deemed Compliant FFI Please specify status

GIIN of Applicant, Name of Sponsoring Entity, GIIN of Sponsoring Entity

3. Foreign Financial Institution other than No. 1 and 2 Please complete Form W-8BEN-E

Part 2 Confirmation and Change of Status

- 1. You confirm that the above information is true, correct, accurate and complete.
2. You acknowledge and agree that if you are a U.S. Person but the information provided on this form or Form W-9 is false, inaccurate or incomplete, TMBThanachart Bank Public Company Limited shall be entitled to terminate, at its sole discretion, the entire banking/business relationship with you or part of such relationship as TMBThanachart Bank Public Company Limited may deem appropriate.

3. You agree to notify and provide relevant documents to TMBThanachart Bank Public Company Limited within 30 days after any change in circumstances that causes the information provided in this form to be incorrect.

4. You acknowledge and agree that failure to comply with item 3 above, or provision of any false, inaccurate or incomplete information as to your status, shall entitle TMBThanachart Bank Public Company Limited to terminate, at its sole discretion, the entire banking/business relationship with you or part of such relationship as TMBThanachart Bank Public Company Limited may deem appropriate.

**Part 3 Authorization for Information Disclosure and Account Withholding**

You hereby irrevocably authorize TMBThanachart Bank Public Company Limited to:

1. disclose to TMBThanachart Bank Public Company Limited (for the benefit of FATCA compliance), domestic and/or foreign tax authorities, including the U.S. Internal Revenue Service (IRS), your name, address, taxpayer identification number, account number, FATCA compliance status (compliant or recalcitrant), account balance or value, the payments made into or from the account, account statements, the amount of money, the type and value of financial products and/or other assets held with TMBThanachart Bank Public Company Limited, as well as the amount of revenue and income and any other information regarding the banking/business relationship which may be requested or required by TMBThanachart Bank Public Company Limited, domestic and/or foreign tax authorities, including the IRS; and

2. withhold from your account and/or the income derived from or through TMBThanachart Bank Public Company Limited in the amount as required by the domestic and/or foreign tax authorities, including the IRS, pursuant to the laws and/or regulations, and any agreements between TMBThanachart Bank Public Company Limited and such tax authorities.

If you fail to provide the information required to determine whether you are a U.S. person, or to provide the information required to be reported to TMBThanachart Bank Public Company Limited, or if you fail to provide a waiver of a law that would prevent reporting, TMBThanachart Bank Public Company Limited shall be entitled to terminate, at its sole discretion, the entire banking/business relationship with you or part of such relationship as TMBThanachart Bank Public Company Limited may deem appropriate.

**Part 4 Authorization for Third Party for usage and obligation in this document.**

For convenience and to reduce the duplication of you in delivering documents / information / consent to TMBThanachart Bank Public Company Limited and any Financial Institutions which are own products that TMBThanachart Bank Public Company Limited is a sales agent, brokerage and/or as a party for the benefit / on your behalf on a particular case basis. In addition, if you open an account with any Financial Institutions through TMBThanachart Bank Public Company Limited, In this form, you hereby acknowledge and consent to all of the following persons (including agents and related persons): 1. Any Asset Management Company / Fund / Financial Institution that you open deposit account, trading account or using any other financial services, both present and in the future through TMBThanachart Bank Public Company Limited. 2. Other distributors /agents of including another person (s) related to the aforesaid /Asset Management Company/Fund. 3. Financial Institutions where the above person has entered into a contract for the benefit of / on your behalf to use any documents, information, affirmation and consent related to identification, disclosure information and withholding in accordance with this document and reference information (hereinafter referred to as "Document and Information") in accordance with any applicable both domestic and international laws ( including FATCA and AML/CTPF Act) as if you have provided such documents, information, affirmation and consent to each of those aforesaid person (s) and both domestic and international government agencies and all concerned persons can submit and use any of your documents and information between them. Either in photocopies or original or electronic documents. It is binding on you in all respects.

By signing in the space below, I hereby acknowledge and agree to the terms and conditions specified herein, which include permitting the disclosure of information, account withholding and termination of banking/business relationship.

X..... Signature of Applicant  
( )

Date ..... Contact Number 

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